

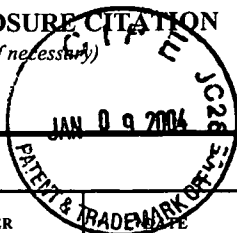
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 03226/296001	
In Re Application Of: Germano CARONNI				
Serial No. 10/675,667	Filing Date September 30, 2003	Examiner	Group Art Unit	
Title: METHOD AND APPARATUS FOR RE-ENCRYPTING DATA IN A TRANSACTION-BASED SECURE STORAGE SYSTEM				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(q))			Docket No. 03226/296001
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<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.			
<b>Certificate of Transmission by Facsimile*</b>		<b>Certificate of Mailing by First Class Mail</b>	
<div>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F):  (Date) _____  Signature _____  Typed or Printed Name of Person Signing Certificate _____</div>		<div>I certify that this document and fee is being deposited on 1.6.2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Signature of Person Mailing Correspondence _____ Toni Hill  Typed or Printed Name of Person Mailing Certificate _____</div>	
<p>*This certificate may only be used if paying by deposit account.</p> <p>Signature _____ Jonathan P. Osha, Reg. No. 33,986 ROSENTHAL &amp; OSHA L.L.P. 1221 McKinney Street, Suite 2800 Houston, Texas 77010  Telephone: 713/228-8600 Facsimile: 713/228-8778</p> <p>Dated: 1/6/04</p> <p>CC:</p>			

Receipt date: 01/09/2004

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)



Docket Number (Optional) **10675667**  
**03226/296001**

Application Number **10/675,667**

Applicant(s)  
**Germano CARONNI**

Filing Date  
**September 30, 2003**

Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

/F.H./	A1	G. Cattaneo, et al.; "The Design and Implementation of a Transparent Cryptographic Filesystem for UNIX"; USENIX Annual Technical Conference 2001 - Freenix Track; June 29, 2001; 14 pgs.
/F.H./	A2	P. Braam; "The CODA Distributed File System"; www.coda.cs.cmu.edu/ljpaper/lj.html; May 2000

EXAMINER  
/Farid Homayounmehr/

DATE CONSIDERED  
03/26/2009

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.